WHAT YOUR COLLEAGUES ARE SAYING . . .

*Leader Credibility* is a must-read for every educational leader. All too often, school improvement plans and initiatives fail, not on their merits but on the credibility of the leader. Educational leaders need to be strategic about how they contribute to or derail the success of these initiatives, and this book provides a framework for how to build leader credibility and opportunities for structured self-reflection.

**Randy Clyde**  
*Middle School Principal*  
*San Bernardino City Unified School District*  
*San Bernardino, CA*

This book offers tangible ways to build your leadership credibility and concrete methods for building strong relationships that will cultivate a culture of trust and community.

**Betty Zavala**  
*Elementary School Principal, Klein ISD*  
*Spring, TX*

I highly recommend this book. Teachers are yearning for great leadership, and this book helps educational leaders analyze the skill set it takes to lead with credibility.

**Dawn Massey**  
*Principal, Okaloosa County School District*  
*Fort Walton Beach, FL*
The authors share relevant research, illustrations, and tools for self-reflection that can be used to impact the daily work of school leaders. By reading Leader Credibility, leaders will be challenged to think more intentionally about how they build trust, competence, dynamism, and immediacy, and how they become more forward thinking.

Alisa Barrett
Director of Instruction, Greenfield Exempted Village Schools
Greenfield, OH

I cannot overstate the significance of leadership credibility. On a recent livestream, I spent 90 minutes discussing leadership credibility and could have very easily gone for hours more. In their newest collaboration, Douglas Fisher, Nancy Frey, Cathy Lassiter, and Dominique Smith have completed those hours for me. Leadership Credibility makes a compelling argument for the significance of school leadership credibility toward overall school leadership effectiveness. Fisher and Frey have written another winner that all school leaders and aspiring school leaders should add to their professional learning.

Baruti Kafele
Retired Principal, Education Consultant, Author
LEADER

credibility
DOUGLAS FISHER  
NANCY FREY  
CATHY LASSITER  
DOMINIQUE SMITH

LEADER 
credibility

The Essential Traits of Those Who Engage, Inspire, and Transform

FOREWORD BY MICHAEL FULLAN

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FOREWORD

by Michael Fullan

Being a devotee of learning from practice, I was pleased to see Douglas Fisher, Nancy Frey, Cathy Lassiter, and Dominique Smith build from establishing what works in fostering learning in the classroom and in school and move toward defining what kind of leadership would best support such learning. When I examine the authors’ insights, I can see why certain leadership is geared to success, and I am doubly affirmed when I see the specific leadership traits associated with success. Fisher, Frey, Lassiter, and Smith’s findings are totally congruent with our leadership research over the past four decades.

The authors reinforce another feature of our change findings, namely that we need to identify the smallest number of key factors that meet the following criteria: clarity, comprehensiveness, succinctness, and mutual exclusivity (nonoverlapping). The variables, of course, have to end up being crystal clear (understandable) and linked to practice (what effective leaders actually do). Fisher, Frey, Lassiter, and Smith’s core components of credibility—trust, competence, dynamism, and immediacy—provide the foundation of effective leadership traits. These traits represent what effective teachers do in relation to their students; leaders, then, have a double responsibility, as they must first be able to recognize the core four components (trust, competence, dynamism, and immediacy) in their teachers, and second, to possess and model such factors in their relationship with teachers.
Another key aspect of leadership we have discovered in our work is the capacity to be specific about the practices that make a difference. As with all seemingly clear insights, the leadership meaning is subtle, or, if you like, “nuanced” (Fullan, 2019). The full insight is that effective leaders must help teachers and others achieve specificity without imposition. Consider that if you mandate something without buy-in, it will fail. If you get teachers to agree with something in the absence of specific practices, it will also fail. The sophistication lies in the detailed working relationship between school leaders and teachers; it is the clarity and comprehensiveness of this relationship that counts. And therein lies the value of Leader Credibility: The Essential Traits of Those Who Engage, Inspire, and Transform.

As I mentioned, the book contains all the key concepts one needs to be a successful leader. The next requirement is that these concepts must be unpacked, both for clarity and for understanding and developing the ideas, and this is the real strength of the book. There are more than 20 instruments—rubrics, diagnosticians, survey instruments, and checklists—across the five chapters, all geared to the concepts in the book and keyed to the task of developing leadership credibility and impact. The tools in the introduction, for example, compare factors that compromise leader credibility with those that enhance credibility (Sinha, 2020), provide sample indicators of immediacy with students, and compare instructional and transformational leaders.

It is the four core concepts that constitute the core value of leader credibility: trust, competence, dynamism, and immediacy. They operate as an integrated set. In action, they push the organization forward. They provide guidelines for how leaders should spend their time. As a set, as the authors argue in the last chapter, these concepts constitute “why forward-thinking leadership matters.” Here is a book that puts the question of leader credibility in the hands of those who are willing to focus on a small number of interrelated factors, all the while fostering consistent practice in day-to-day implementation.

—Michael Fullan
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CHAPTER 1

TRUSTWORTHINESS IN LEADERSHIP

Are you trustworthy in the eyes of others? The ability to trust in a leader—whether a leader of teams, schools, or units—is crucial for an organization to work. Distrust is like sand in the gears, as it becomes the unplanned effort that saps the collective strength of the team. Our human need to determine whether we trust is fundamental to our survival. Early humans had to decide whose model to follow in order to find shelter, avoid poisonous plants, and elude predators. Staff have to decide whose model to follow in order to invest in a healthy school climate, avoid legal missteps, and build learners who can reach their aspirations. Leaders convey their trustworthiness through actions that authentically convey caring for others in the school environment, by being consistent and ethical in interactions, and by demonstrating a level of competence in the matters at hand.

Before going further, we invite you to self-assess your credibility as a leader. It can be tempting to run through these items quickly, marking off Always for each statement. We encourage you to reflect on actions you have taken in the last 30 days to invest in your trustworthiness as a leader (see Figure 1.1).
## FIGURE 1.1 TRUSTWORTHINESS SELF-ASSESSMENT

<table>
<thead>
<tr>
<th>ITEM #</th>
<th>SURVEY ITEM</th>
<th>3 ALWAYS</th>
<th>2 SOMETIMES</th>
<th>1 RARELY</th>
<th>0 NEVER</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>I make intentional efforts to empathize with teachers and staff by asking how they are feeling and showing care and concern for them as individuals.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>I ensure staff and other key team members know that I became a school leader to learn with and from them and that I enjoy my job most when they achieve.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>I believe in the abilities and motivations of the staff and students.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>I follow through on promises and statements I make to teachers, students, and parents.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td>I ensure that I provide accurate, credible information to all educational partners in the school community.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
In this chapter, as well as those that follow, we’ll start off with a self-assessment, followed by a REAL (Realistic, Effortful, Authentic, and Learning-focused) Reflection. Notice that we didn’t say “learner-focused.” These thought exercises are for you to support your own learning.

**REAL REFLECTION**

Which of the indicators of trustworthiness are strengths for you?

Which of the indicators present growth opportunities?

What conclusions are you drawing about your trustworthiness?

Whom can you enlist to support you in strengthening your trustworthiness and thus building your credibility?

**THE RESEARCH ON TRUSTWORTHINESS**

Trust is the currency of leadership. Without trusting relationships within the organization, forward motion grinds to a halt. The groundbreaking work conducted by the Consortium on Chicago School Research demonstrated nearly two decades
ago that social trust is necessary for any school improvement effort to thrive. Researchers spent four years with 400 elementary schools observing the ways principals, teachers, students, and community members resolved problems and implemented reforms. To do so, the researchers conducted interviews, observed classrooms, and analyzed meeting notes. They compared their analyses of relational trust (trust between individuals and groups of individuals) to the reading and mathematics test scores over a five-year period. It should come as no surprise that those school communities with high degrees of relational trust made significant progress. Comparatively, “a school with a low score on relational trust at the end of our study had only a one-in-seven chance of demonstrating improved academic productivity” (Bryk & Schneider, 2004, p. 43). In the educational space, note Bryk and Schneider, relational trust occurs as a result of the following:

- **Mutual respect** between parties, especially when there is disagreement or conflict

- **Personal regard**, demonstrated through warmth and caring about others; openness, sharing personal stories, and gentle humor are ways in which we show our personal regard for others

- **Competence in core responsibilities**, as each member of the school community has specific role responsibilities, and all are in turn dependent on one another’s competent execution of those duties (what does it mean to be a great teacher/student/leader/family at our school?)

- **Personal integrity**, the final component of relational trust and a function of a person’s honesty and reliability; the fundamental measure of how we determine whether a person deserves our trust (in an educational setting, this is perceiving that colleagues have the welfare of students in mind)

Their description of relational trust as “the connective tissue that holds improving schools together” is evidenced in more recent research on trust’s role in school innovation (Bryk & Schneider, 2002, p. 144). A 2020 study of 79 schools found that
there were three preconditions for innovation: collective teacher efficacy, academic press (a school’s emphasis on learning and academic excellence), and relational trust. Further, relational trust had a direct impact on whether collective teacher efficacy and academic press existed (Schwabsky et al., 2020). In other words, a school organization’s openness to new ideas and experimentation is predicated on whether there is a network of trust among faculty, students, leaders, and families.

It is difficult to imagine how a school can improve without a willingness to innovate. Trust, it seems, is the keystone of the arch of reform. Yet most school innovation efforts fail to acknowledge the instrumental role that relational trust plays in any initiative. Instead, there is an outsized emphasis on the content and the logistics of the effort and how it will be monitored and so on. These are vital concerns, to be sure. But the elephant in the room—whether there is a sufficient level of trust to sustain the effort—is rarely examined. If the innovation fails, the fault is attributed to the content, and then a new initiative begins. But consider those findings from Chicago: organizations with low levels of relational trust had a 14% chance of achieving success in their reading and math initiatives. There is little doubt that educators worked hard on those failed efforts. But without a sufficient level of resource—trust—the likelihood that their work would deliver desired results was significantly diminished. Connective tissue, indeed.

**TRUSTWORTHINESS AND CREDIBILITY**

Much has been written about what constitutes a trustworthy individual. For us, Tschannen-Moran’s (2004) five facets of trustworthiness in educators—benevolence, honesty, reliability, openness, and competence—work well as an explanation of how we convey to others that we are deserving of their trust. Whether leading a team as a department or grade-level chair, acting as an instructional coach, or holding more formal leadership positions at the site and district levels, educators will find that these five facets act upon and enhance one another.

Let’s take the first one, which is benevolence. Being on guard is a protective factor that is baked into our very nature as humans.
We need to be reasonably sure that another has our best interests in mind. In leadership, benevolence is expressed through actions that demonstrate care and concern for others over the long term. There is an ethical component to benevolence, expressed in the form of honesty. This is perhaps the dimension most people think of when they consider trust. It is natural to distrust someone who is deceitful and seeks to obscure the truth from others. We measure a person’s track record of honesty to make judgments about their character and integrity.

The third facet of trustworthiness is reliability, which is related to honesty. A reliable person is true to their word and follows through. If they can’t deliver on a promise, they own it and take responsibility. In addition, they are consistent and steady in their actions and reactions. Openness is the fourth dimension. This is the extent to which information is shared and disclosed, with discretion applied in what is shared. A person who overshares or violates the confidentiality of another person (even when it’s not you) is not viewed as trustworthy. One is likely to think, “If they told me that information about Brad, what are they saying about me?” On the other hand, appropriate levels of disclosure and information sharing signal reciprocal trust between individuals.

The final factor, and one we will explore more thoroughly in the next chapter, is competence. No matter how benevolent, honest, reliable, and open a person is, if we do not perceive them as being competent at the task at hand, we are unlikely to trust them to get the job done. We rely on the expertise of others to make decisions about our personal and professional lives. When faced with a dilemma, we turn to those we see as being competent to seek advice and guidance.

Relational trust begins with you as a leader. Why is trust in the leader so crucial? What current strengths regarding relational trust exist at your site?
WHAT HUMANS NEED: AUTONOMY

School organizations are composed of people experiencing a wide range of stages in their identity. Take a typical elementary school as an example: there are likely to be four-year-olds in the transitional kindergarten classroom, 17-year-olds on campus doing work related to their career and technical education (CTE) course in their education pathway, a few young adults from the university completing their practicum, and members of the staff in their late twenties and in the early stages of their career working alongside middle- and late-career colleagues. Yet all of them have an important challenge in common: they seek autonomy.

Autonomy is the ability to make choices and decisions, which contributes to motivation and goal-directed behavior. It is an integral part of self-determination theory, which relies on three dimensions: autonomy, competence, and relatedness (Ryan & Deci, 2000). Ryan and Deci note that “human beings can be proactive and engaged or, alternatively, passive and alienated, largely as a function of the social conditions in which they develop and function” (2000, p. 68). In other words, when these conditions are present, motivation increases. Consider what we know about what works for ourselves, our students, and our organization:

- **Autonomy** to make choices and decisions, which contributes to a sense of agency to achieve goals
- **Competence** to demonstrate skills and develop new ones
- **Relatedness** to others through social bonding such that one doesn’t feel alone

Trustworthy leaders create a climate such that the people in them can achieve a sufficient level of autonomy in their lives. We’ll focus specifically on teacher autonomy as a function of leadership by first discussing what it isn’t. Teacher autonomy is not a free-for-all in an environment that says it’s fine to close your classroom door and do whatever. We have legal and professional obligations regarding curriculum, expectations for how children are treated, and requirements about the structure of the school day and school year. It matters
that we all agree that school starts at 7:30 a.m., that we have supervision responsibilities to keep students safe, and that we adhere to contractual and licensure regulations.

Teacher autonomy touches on four crucial elements that, in turn, contribute to a trusting school climate. Drawing from a review of the literature on teacher autonomy, Gwaltney (2012) highlighted these factors (quoted in Grant et al., 2020):

- Classroom control over student teaching and assessment
- Schoolwide influence over organizational and staff development
- Classroom control over curriculum development
- Schoolwide influence over school mode of operation

But there are two types of errors leaders can make that undermine one’s perceived trustworthiness as it relates to a climate of teacher autonomy. One is that we can veer too far in the direction of control. There are practices that crush teacher autonomy, and many of them are a direct result of leadership that is not trustworthy. Ruling by decree rather than seeking consensus is a sure recipe for disaster. It is demoralizing for educators when they feel they have no voice in decisions that directly impact their teaching. Teacher turnover and attrition are linked to teacher autonomy. Those feeling a loss of autonomy may move to another school where they have more (Torres, 2014) or leave the profession altogether (Glazer, 2018).

A climate of supportive teacher autonomy is also not one that veers in the opposite direction. A laissez-faire approach where team and site leaders are rarely involved in classroom operations is not going to do anyone much good, either. One of the interesting things about teacher autonomy is that it is developmental in nature, just like autonomy with young people. The amount of autonomy granted to the four-year-olds in the building is going to be different from that of the 17-year-olds working on their CTE pathway requirements, even though they are in the same building. It turns out that
the adults in the building require different levels of autonomy depending on their proficiency.

A graduated teacher autonomy framework provides guidance for team leaders, instructional coaches, and site leaders in considering the varied needs of the staff they support and supervise (Grant et al., 2020). Rather than a one-size-fits-all approach, which is likely to alienate highly proficient educators while leaving novices with less support than they need, this framework considers proficiency across four dimensions: planning and preparation, classroom environment, instruction, and professional responsibilities. Importantly, proficiency should not be conflated with experience, with broad assumptions that a teacher with 20 years of experience automatically is more capable than one with 10 years of experience. This framework draws from the language of the Danielson teacher evaluation system, a widely used measure in many districts (see Figure 1.2).

FIGURE 1.2 ANCHORS OF THE GRADUATED TEACHER AUTONOMY FRAMEWORK

<table>
<thead>
<tr>
<th>EXAMPLE COMPONENTS (DANIELSON, 2007)</th>
<th>BEGINNING PROFICIENCY → LESS AUTONOMY</th>
<th>MASTERSER PROFICIENCY → MORE AUTONOMY</th>
</tr>
</thead>
<tbody>
<tr>
<td>PLANNING AND PREPARATION</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Knowledge of content and pedagogy</td>
<td>• Lesson plan templates provided by administration and/or specialists</td>
<td>• Choice of lesson plan template</td>
</tr>
<tr>
<td>• Setting instructional outcomes</td>
<td>• Lesson plan submissions and weekly planning sessions for feedback and review with administrators and/or specialists</td>
<td>• No submission or meeting requirements</td>
</tr>
<tr>
<td>• Designing instruction and assessment</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(Continued)
### Example Components (Danielson, 2007)

<table>
<thead>
<tr>
<th>CLASSROOM ENVIRONMENT</th>
<th>BEGINNING PROFICIENCY → LESS AUTONOMY</th>
<th>MASTERED PROFICIENCY → MORE AUTONOMY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Establishing a culture of learning</td>
<td>Prescribed behavior management system (e.g., PBIS)</td>
<td>Choice of behavior management system</td>
</tr>
<tr>
<td>Managing student behavior</td>
<td>Mandated standard procedures and norms</td>
<td>Choice of procedures and norms</td>
</tr>
<tr>
<td>Managing classroom procedures</td>
<td>Blackboard configuration</td>
<td>Choice of blackboard configuration</td>
</tr>
</tbody>
</table>

### Instruction

<table>
<thead>
<tr>
<th>INSTRUCTION</th>
<th>BEGINNING PROFICIENCY → LESS AUTONOMY</th>
<th>MASTERED PROFICIENCY → MORE AUTONOMY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Engaging students in learning</td>
<td>Prescribed scripted curriculum</td>
<td>Choice of methodology and approach based on content standards</td>
</tr>
<tr>
<td>Using questioning and discussion techniques</td>
<td>Mandated professional development</td>
<td>Administrator walkthroughs and feedback sessions</td>
</tr>
<tr>
<td></td>
<td>Administrator walkthroughs</td>
<td></td>
</tr>
</tbody>
</table>

### Professional Responsibilities

<table>
<thead>
<tr>
<th>PROFESSIONAL RESPONSIBILITIES</th>
<th>BEGINNING PROFICIENCY → LESS AUTONOMY</th>
<th>MASTERED PROFICIENCY → MORE AUTONOMY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participating in professional development</td>
<td>Prescribed professional community involvement (e.g., PLCs)</td>
<td>Opportunity for leadership roles within professional communities (PLCs, grade-level chairs, school and district committees, etc.)</td>
</tr>
<tr>
<td>Participating in professional communities</td>
<td>Prescribed professional development modules</td>
<td>Opportunity for peer mentoring roles for other teachers</td>
</tr>
<tr>
<td>Communicating with families and the school community</td>
<td>Family communication logs, oversight by a peer mentor</td>
<td></td>
</tr>
</tbody>
</table>

**NOTE:** PBIS = positive behavior incentive system; PLC = professional learning committee or community. Scales are according to each of the four domains of teaching: planning and preparation, classroom environment, instruction, and professional responsibilities (Danielson, 2007).

**SOURCE:** Grant et al. (2020, p. 104). Used with permission.
Lisa Willis was the science department chair of her large high school and was released part time to serve as an instructional coach. During the school year, Ms. Willis adopted a graduated autonomy framework as a lens for her support of teachers. “My colleagues have quite a range of expertise in what they bring to the classroom,” she explained. “One of my colleagues is a National Board Certified teacher and has so much knowledge about curriculum planning. I encouraged her to serve on the new science adoption committee for the district. Having said that, she feels that she needs more support on our school’s restorative practices initiative, so that’s where I’m focusing my support.”

Ms. Willis contrasted this kind of support with another colleague who was new to the school district but not to the profession. “I’ve been meeting with him to establish a trusting relationship. I guess he felt kind of burned from his last job,” she said. “I got him to open up about his challenges by sharing some of my own professional struggles. He’s more recently been seeking support about instruction, which is great. We do quite a bit at this school with teacher clarity, which is a new practice for him.” Ms. Willis’s use of a more tailored approach to providing differentiated coaching supports to colleagues is building her trustworthiness. “I’m noticing that people are feeling ‘seen’ in terms of who they are and what they need. I read a blog recently that said that things humans need at work: ‘I matter. I belong. I’m enabled. I contribute. I’m respected’ [Wai, n.d.]. I’m keeping those in mind as I interact as a coach.”

LEADERSHIP PRACTICES THAT CONVEY AND DERAIL TRUST

Ms. Willis’s reminder about the common needs of people in the workplace transcends the role and responsibilities of the adults in the building. We remember an interaction at a
middle school between Ryan Watkins, the dean of students, and the lunch monitors. There had been a series of incidents in the lunchroom, and Mr. Watkins was charged with responding. The lunch monitors were frustrated, too, but Mr. Watkins failed to acknowledge that. Instead, he launched into a diatribe: “I don’t know what’s been going on down here, but the kids are out of control and you’re not managing them the way you should.” You can imagine the lunch monitors’ reactions—stone-faced silence and crossed arms. What Mr. Watkins failed to find out was that the lunch monitors had met to discuss what had occurred, and they had prepared a list of possible ideas to improve the conditions in the cafeteria. Abigail Henson, the senior staff present, quietly folded the notes and put them back in her pocket, and they let the dean rant for a few minutes until he left. However, the damage was done. Ms. Henson voiced what the others were feeling: “I feel so disrespected.”

We have all had times when our emotions got the best of us. One action that is vital is acknowledging when you’re wrong. This is a demonstration of honesty. It doesn’t necessarily wipe away what was said, but it does signal the start of rebuilding a bridge. Let’s take each of these five facets of trust and look at common leadership behaviors that build or decrease trust (Figure 1.3).

**FIGURE 1.3 CONVEYING AND DERAILING OUR TRUST**

<table>
<thead>
<tr>
<th>FACET OF TRUST</th>
<th>ACTIONS THAT CONVEY TRUST</th>
<th>ACTIONS THAT DERAIL TRUST</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benevolence</td>
<td>• Asking about another’s well-being</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Offering help when it is needed</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Being patient with others and presuming positive intentions</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Paying attention to the emotions of others</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Exhibiting respect for every individual</td>
<td>• Ignoring difficulties people are experiencing (personal challenges don’t belong in the workplace)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• When someone challenges the wisdom of a decision, taking it as a personal affront; they’re undermining your authority</td>
</tr>
<tr>
<td>FACET OF TRUST</td>
<td>ACTIONS THAT CONVEY TRUST</td>
<td>ACTIONS THAT DERAILED TRUST</td>
</tr>
<tr>
<td>--------------------------------</td>
<td>--------------------------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Benevolence (continued)</td>
<td>• Being of service to others, even when there’s no direct benefit to you</td>
<td>• Playing favorites</td>
</tr>
<tr>
<td></td>
<td>• Celebrating the achievements of others</td>
<td>• Always thinking about your own career first; being a leader is about gaining and holding on to power</td>
</tr>
<tr>
<td>Honesty</td>
<td>• Proving accurate data, even when it isn’t favorable for you</td>
<td>• Taking credit for other people’s work</td>
</tr>
<tr>
<td></td>
<td>• Acknowledging when you are wrong</td>
<td>• Blaming others when something doesn’t go well</td>
</tr>
<tr>
<td></td>
<td>• Admitting when you don’t have the answer and vowing to find out more</td>
<td>• Shading the truth so that you come out looking like a hero</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Faking it when you don’t know something</td>
</tr>
<tr>
<td>Reliability</td>
<td>• Honoring the commitments you have made</td>
<td>• Making excuses when you don’t deliver on commitments; you’re busy, and everyone knows that</td>
</tr>
<tr>
<td></td>
<td>• Following up with others about decisions that impact them</td>
<td>• Keeping everyone guessing about what you’ll do or say next</td>
</tr>
<tr>
<td></td>
<td>• Following through with actions, not just words</td>
<td></td>
</tr>
<tr>
<td>Openness</td>
<td>• Communicating with others to keep them informed</td>
<td>• Dominating the conversation; everyone needs to hear your ideas first</td>
</tr>
<tr>
<td></td>
<td>• Disclosing your own concerns and uncertainties</td>
<td>• Asking for advice, but not actually using it</td>
</tr>
<tr>
<td></td>
<td>• Asking for the opinions and insights of others</td>
<td>• Scoffing at or dismissing other people’s ideas</td>
</tr>
<tr>
<td></td>
<td>• Building personal connections with others</td>
<td>• Gossiping</td>
</tr>
<tr>
<td>Competence</td>
<td>• Being clear and consistent on the purpose of the decisions and actions taken</td>
<td>• Making decisions alone; that’s why you’re the leader</td>
</tr>
<tr>
<td></td>
<td>• Explaining your thought processes</td>
<td>• Telling others what to do; you don’t owe them an explanation</td>
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<td></td>
<td>• Linking decisions and actions to the values and mission of the organization</td>
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Trust is hard-won and easily lost. This is especially true when a principal is new to a school. The circumstances that led to the change in leadership are varied, to be sure. Perhaps you succeeded a principal who took on a new role within or outside of the district. Or perhaps the previous principal retired or left under a cloud of difficulties. The previous principal may have been a beloved figure (we worked with a school that had only four principals in 60 years—that’s a tough act to follow). In any of those circumstances, building trust is at the top of the to-do list.

This takes time and effort, which is why most new leaders write 30-, 60-, and 90-day plans that include relational trust, among other tasks. It is useful to think about building trust at a new school as a series of stages, where trust becomes “thicker” and therefore less fragile over time (Bottery, 2005). For new and succeeding principals, these stages can be essential for building the kind of trust that is crucial among constituents. Northfield (2014) states that it begins with role trust, which is the expectation by a school community that the new leader has the credentials needed, and therefore knowledge, to ensure that the organization follows needed legal mandates, regulations, and governance requirements. Moreover, this first level of tacit trust assumes that the principal will act like a principal and the teacher will act like a teacher, with understood boundaries that keep the parties within the legal confines of their job descriptions. Should a situation arise that falls within the legal boundaries, teachers would be confident
about how the new principal would respond. This is closely related to elements of competence, an important dimension of trustworthiness.

The second stage, *practice trust*, builds on the first. Not all situations that occur fall strictly within legal boundaries, so staff are also keeping an eye on how the new principal operates in action. As a simple example, how does the principal greet students and families? Is she out at the car drop-off area every morning to say hello? Or is he rarely out and about, spending more time in his office than in the hallways? There’s no legal requirement that governs a principal’s comportment. However, much of the practice trust gained (or not) is based on multiple observations over time as team members witness the actions of the new principal. There is a lot of impression making that is occurring. In order to reach this stage, staff members need to feel confident that they can predict how a principal will react in a given situation. Reliability, therefore, plays a role in practice trust.

This stage poses a potential pitfall. All four of us have worked or currently work in the field of university principal preparation, and too often we hear candidates say that they would devote the first six months of their new job to “listening” but not taking any action. But imagine the impatience of a staff who sees the new principal as passive and inactive. The opposite is equally problematic; the new principal who rushes in eager to adopt whatever (block scheduling, teacher clarity, integrated math, you name it) without bothering to learn about the organization’s history risks trampling over team members’ knowledge, skills, and beliefs. Development of practice trust requires a balance of making time to immerse oneself in learning about the school while ensuring that administrative tasks are completed and organizational learning continues.

*Integrative trust* is the third stage of trust development and is derived in part from the first two stages. The staff of the school are assured of the principal’s ability to function within the legal guidelines and have witnessed a consistent level of action. Further, the principal’s values and ethics are apparent. There is an intersection between integrative trust
and benevolence, the belief that the leader has the long-term well-being of others in the organization in mind. For some principals, this is the stage is where trust stagnates. A lack of consistency and transparency and the conveyance of benevolence can prevent integrative trust from emerging.

The fourth stage, not reached by all, is correlative trust. This is evidenced when the principal and the staff have shared goals and values and work in tandem to realize ongoing and new initiatives. Is it possible that those Chicago schools that were successful in positively impacting reading and mathematics achievement had a higher degree of correlative trust? While Northfield presented these as stages, it is important to note that these are not static. The degree of trust can be gained and lost due to a single extraordinary event, or because of patterns over time (see Figure 1.4 for a summary).

**FIGURE 1.4 STAGES OF TRUST**

<table>
<thead>
<tr>
<th>STAGES OF TRUST</th>
<th>DESCRIPTION OF THE LEVEL</th>
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<tr>
<td>Role trust</td>
<td>Staff members expect the principal to function according to the prescribed role and within the legal mandate of the position, including abiding by the laws, policies, and regulations that govern education and the position.</td>
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<tr>
<td>Practice trust</td>
<td>After observing the principal's practice and actions, staff members can predict how a principal will respond/act in a given situation.</td>
</tr>
<tr>
<td>Integrative trust</td>
<td>After observing/experiencing the actions of the principal in a multitude of situations, staff members are able to identify the underlying principles, values, and beliefs on which the principal chooses to act.</td>
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<tr>
<td>Correlative trust</td>
<td>Staff members understand and share the principal's values and beliefs such that they are able to function in a mutually respectful and supportive manner.</td>
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**SOURCE:** Northfield (2014, p. 412). Used with permission.
Ana Escobedo was appointed as the new principal of an elementary school in the district where she currently worked. Ms. Escobedo had been the principal of a smaller school for four years before receiving this assignment, when the principal she was succeeding, a beloved figure, announced her retirement. She began her appointment on July 1, where she had the opportunity to work with a few staff members over the summer. Teachers returned in late August, and Ms. Escobedo led a number of events, sometimes with other staff members, during the planning week. During small- and large-group conversations, she had a chance to share her vision and find out from others what their concerns were, as well as strengths. “I asked each person I spoke to if they could share one thing they are known for,” Ms. Escobedo said. “It gave me some good insight into their personalities.” She recognized that she was on her way to establishing initial role trust with her staff and now needed to do the same with students and families.

PRACTICES TO STRENGTHEN TRUSTWORTHINESS

Your credibility as a leader of teams, departments, and schools begins and ends with your perceived trustworthiness. We don’t get to say that we are trustworthy; it is determined by those around us. Trust is something that is always in play and, as such, is fluid. It is shaped by every interaction but gets thicker as you assemble a track record of benevolence, honesty, reliability, openness, and competence. Consider making these actions a part of your professional plan for developing and deepening your leadership skills:

What advice do you have for Ms. Escobedo about what she will want to accomplish during the first month of school? Keep practice trust in mind, as this is the next stage she is aspiring to reach.
Invest with intention. Trustworthiness isn’t a static construct; therefore, you benefit from continuous investment. When in the role of a new leader, we’re often quite conscious of how we establish trust. But it can be easy to let some of those practices fall away as we get busy with the tasks at hand. Keep in mind that trust evolves in phases, and role and practice trust shouldn’t be seen as the final destination. Move your trustworthiness forward to build relational trust within your group. And if you’ve been a leader of a team for a while, reflect on what you did at the beginning of your tenure. Have you done anything like that in the last 30 days? If not, it’s time to bring back some of those practices.

Notice when you are building and diminishing your own trust. Keep a log for a week to tally the times when you are building trust (e.g., following up, holding a confidence, keeping your emotions in check) and when you are diminishing trust (e.g., being late, not replying to a request, canceling plans, passing judgment on someone else). Your intention is to grow your own self-awareness.

Have the courage to ask others about trust. Identify a colleague who is credible to you and discuss the role of trustworthiness as an influence on your own credibility. Then ask the person if they would be willing to watch your interactions over the course of a week to note incidents when you appeared to build trust with others. If the person is willing, ask for feedback from them about your areas of strength and need as they relate to trust.

CONCLUSION

As humans, we rely on our ability to determine who is trustworthy and who isn’t as a means of survival. While we aren’t on the lookout for saber-toothed tigers anymore, we do tend to put our guard back up when we believe someone is not being truthful or is unreliable. We will return to the place this chapter began, which was the research conducted in Chicago Public Schools. Without question, all the educators, students, and family members were working hard to elevate learning. But there were some schools that were more advantaged than
others because they had a higher degree of relational trust. They stood out because of four factors: mutual respect, personal regard, competence in core responsibilities, and personal integrity. These don’t somehow just emerge in a school community. They are cultivated with intention. And a central tenet of leadership is this: walk the talk. It begins with us.