Preface

As a new principal, I did not realize initially just how crucial decision making was to my success. I succumbed to pressure and made hasty decisions without anticipating the likely repercussions.

I wish I had been able to consult a resource like *Insights* when I was contemplating how to move my faculty toward change or when I was faced with difficult situations demanding resolution for which no decision seemed the right one. Over time, I developed a set of professional core values, but did not always make the important connection between my core values and which decisions mattered most. I also came to understand the importance of assessing school culture when making decisions to introduce change, and utilizing key problemsolving processes to resolve difficult decisions.

Homer-Dixon (2000) states,

"We demand that (leaders) solve, or at least manage, a multitude of interconnected problems that can develop into crises without warning; we require them to navigate an increasingly turbulent reality that is, in key aspects, literally incomprehensible to the human mind; we submerge them in often unhelpful and distracting information; and we force them to decide and act at an ever faster pace" (p. 15).

One of the greatest challenges principals face is the capacity to make good decisions. As principals are bombarded with competing demands, they face the daily challenge of making a host of good decisions in a short amount of time. In this turbulent reality, principals are often obliged to make decisions with limited pertinent information. *Insights: How Expert Principals Make Difficult Decisions* is full of cases about real principals and full of the words and phrases they used to describe their own dilemmas. By conducting extensive interviews

with expert principals about the difficult and complex decisions that they make on a daily basis, I have tried to tease out the factors that inform and guide those decisions. In these pages, principals speak of their core values and priorities, the cultures of their schools, the competing pressures they must withstand, and the processes they have developed to reach the best possible decisions. As the title of the book suggests, they have valuable insights to offer. With the distillation of their thoughts in this volume, I hope to provide a practical guide to strategic decision making for all principals—for new and aspiring principals as well as for those principals who are seeking to improve their decision making.

This book could be utilized for professional development for new or aspiring principals, as a supplement to a university principal preparation course, or as a guide for training principals looking for opportunities to improve their practice. Much like the professional consultation that a mentor principal can offer, *Insights* models effective decision-making processes and provides tips to guide less experienced colleagues. This book includes specific, practical leadership case studies from principals in urban and suburban settings that provide several opportunities for self-reflection. Case study resolutions and a Classroom Walkthrough instrument are included in the Resources section. Tips for making specific types of decisions are featured, for example, tips for managing a crisis with a suspected or actual weapon on school grounds, tips to consider when making policy changes, tips for managing faculty misconduct, as well as concise charts that illustrate decisions principals made to close the gap in their respective schools. Readers will be exposed to a framework for making difficult decisions in complex, unpredictable environments. Recommendations for how to avoid critical errors in decision making are also included. As an experienced bilingual high school and elementary school principal who has worked in urban and suburban settings, I also share my leadership experiences. I maintain my assertion that if school leaders are exposed to difficult and complex decisions and their resolutions, they will be better able to solve similar problems that they may encounter.

In Part I, I highlight the factors expert principals consider in decision making as well as a larger shared focus on improvement, stakeholders, and data. Strategies described include examining the whole picture, considering multiple scenarios and sifting through superfluous details, determining who else will be involved in the decisionmaking process, and viewing both the foreground and background of a dilemma. In Part II of the book, I explore particular types of difficult decisions principals encountered and how they utilized strategies presented in Part I to develop a resolution. In these chapters, principals describe a wide range of decisions: for example, to conduct intense evaluations of ineffective teachers, fire a popular coach, pursue longterm suspensions, revamp a bell schedule, resolve cyberbullying, and use data to improve the performance of African American and Latino students. They shared structures they have developed in their schools, the legal implications of difficult and complex decisions, how school policy influences decision making, how to make decisions that will transform the school environment, and how to make effective decisions even in instances where trust is absent.

Introducing the Principals

The 21 principals who participated in the study—from five districts in urban and suburban counties in Massachusetts, Maryland, and North Carolina—are introduced in Table 1.0. Principals were asked the extent to which they utilized problem-solving strategies outlined in Brenninkmeyer and Spillane's (2008) framework or Davis's (2004) framework for heuristic decision making. The frameworks are utilized to examine effective decision-making practices of expert principals and to explore practical applications. Central office administrators were asked to recommend their best (expert) principals. In cases where the district expressed reluctance to name best principals, principals were selected who had been mentor principals and/or whose school's standardized test scores reflected above average school achievement for the district. Individual qualitative principal interviews (45-60 minutes) were conducted with principals in five districts in urban and suburban counties. Pseudonyms were utilized throughout. Questions were created by me in consultation with the Odum Institute and approved by my former university human rights in research committee (IRB # 2103-P-0010). The principals' administrative experience ranges from 5 to 29 years. About half the principals interviewed were female. The majority were White, but several African American and Latino principals were also interviewed. The principals led small and large schools ranging in size from 105 to 2,353 students. Principals were from traditional schools, alternative schools, and early college high schools. Some were high-poverty, majority-minority schools; several others were very prestigious, affluent schools. I enjoyed our conversations tremendously. I was amazed

Expert Principals
Table 1.0

		School Size	1,268	1,154	1,195	320	105	1,725	NR*	240	255	326	498	1,659	1,722	1,910	320	2,353	922	610	1,645	1,951	406
	Socioeconomic Status (Free	and reduced lunch %)	40%	74%	42%	100%	47%	52%	NR*	61%	81%	84%	89%	72%	9%	15%	67%	30%	6%	74%	55%	7%	72%
		Type of School	Urban	Urban	Urban	Urban	Urban/Early College	Urban	Urban/Alternative	Urban/Early College	Urban/Alternative	Urban	Urban	Urban	Suburban	Suburban	Urban	Urban	Suburban	Urban	Urban	Suburban	Urban
Years of	Admin.	Exp.	ы	13	13	×	7	11	29	12	8	ы	12	ы	9	11	11	17	4	10	~	14	4
		Gender	Male	Male	Male	Male	Female	Male	Male	Female	Female	Male	Female	Male	Male	Female	Female	Female	Male	Female	Female	Female	Female
		Race	White	White	White	White	African American	White	African American	White	African American	African American	African American	White	White	White	African American	White	White	Latina	Latina	White	White
	Principal's Name	(Pseudonym)	Mr. Henry	Mr. King	Mr. Adams	Mr. Vance	Ms. Major	Mr. Edwards	Mr. Peppers	Ms. Lyons	Ms. Wallace	Mr. Rollins	Dr. Jeffreys	Mr. Baron	Mr. Starnes	Dr. Zenga	Ms. Cantrell	Dr. Manning	Mr. Bliden	Ms. Rodriguez	Ms. Perez	Ms. Langely	Ms. Lilv
		State	NC	NC	NC	NC	NC	NC	NC	NC	MD	MD	MD	MD	MA	MA	MA	MA	MA	MA	MA	MA	MA

*NR—Not reported

by the candor, grace, and ease with which the principals handled the extremely volatile and contentious decisions they encountered. After reading this book, you will:

- 1. Discover the problem-solving strategies of expert principals, which include utilizing a data focus, improvement focus, and stakeholder focus.
- 2. Investigate the factors expert principals consider when making decisions to manage a crisis or make policy changes.
- 3. Assess the role of core values in decision making.
- 4. Review core values that guide principals and develop your own professional core values.
- 5. Ascertain the role of building trusting relationships as changes are strategically introduced.
- 6. Become aware of the types of decisions that can lead to regaining control of unsettling environments.
- 7. Articulate how school culture affects decision making.
- 8. Learn the practices for improving decision making using heuristic thinking.
- 9. Determine how examining the whole picture, expanding the field of attention, visualizing solutions, and involving others can improve decision making.
- 10. Adopt strategies for making difficult decisions about student disciplinary consequences.
- 11. Establish an Intense Teacher Evaluation Process.
- 12. Learn guidelines for making decisions about terminating high-profile coaches, managing duplicitous faculty practices, and dealing with allegations of faculty misconduct.
- 13. Review successful practices for data analysis that increase the performance of African American and Latino students.
- 14. Ascertain how changing structures, eliminating barriers to access, and introducing programs can increase the academic performance of African American and Latino students.